

**Express Mail No.: EV 335 857 154 US**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Application of: Kensil and Beltz

Confirmation No.: To Be Assigned

Application No.: To Be Assigned  
(Continuation of Serial No. 09/143,145)

Group Art Unit: To Be Assigned

Filed: On Even Date Herewith

Examiner: To Be Assigned

For: NOVEL COMPOSITIONS OF SAPONIN  
ADJUVANTS AND EXCIPIENTS

Attorney Docket No.: 8449-322-999

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §1.56 AND §1.97**

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure imposed by 37 C.F.R. §1.56 to inform the U.S. Patent and Trademark Office of all references coming to the attention of each individual associated with the filing and prosecution of the subject application which are or may be material to the patentability of any claim of the application, Attorneys for Applicants respectfully direct the Examiner's attention to the references A01-B04 listed on the attached form PTO 1449.

The above-identified application is a continuation of application no. 09/143,145, filed August 28, 1998, which claims the benefit of U.S. Provisional Patent Application Serial No. 60/057,255 filed August 29, 1997. Pursuant to 37 C.F.R. § 1.98(d), Applicants respectfully refer the Examiner to the copies of the references A01-B04 cited and of record in the applications serial no. 09/143,145 and 60/057,255.

Applicants respectfully request that the Examiner review the listed references and that the references be made of record in the file history of the application. Identification of the listed references is not meant to be construed as an admission of Applicants or Attorneys for Applicants that such references are available as "prior art" against the subject application.

Pursuant to 37 C.F.R. §1.97(b), since it is believed that this information disclosure statement is being filed before the mailing date of a first Office Action on the merits, no fee is due in connection herewith. However, should the Patent Office determine otherwise, please charge the required fee to Pennie & Edmonds LLP deposit account no.16-1150; a duplicate of this sheet is enclosed.

Respectfully submitted,

Date: October 3, 2003

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<b>LIST OF REFERENCES CITED BY APPLICANT</b> (Use several sheets if necessary)	ATTY DOCKET NO. <b>8449-322-999</b>	APPLICATION NO <b>To Be Assigned</b>
	APPLICANT <b>Kensil and Beltz</b>	
	FILING DATE <b>Herewith</b>	GROUP <b>To Be Assigned</b>

**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	A01	4,717,717	1/5/1998	Finkenaur			
	A02	5,057,540	10/15/1991	Kensil et al.			
	A03	5,595,744	1/21/1997	Chalmers et al.			
	A04	5,977,081	11/2/1999	Marciani			
	A05	6,231,859	5/15/2001	Kensil			

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	YES	NO
	B01	WO 92/06710	4/30/92	PCT					
	B02	WO 93/05789	4/1/93	PCT					
	B03	WO 96/11711	4/25/96	PCT					
	B04	WO 96/32401	10/17/96	PCT					

**OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)**

C01	
C02	
C03	

EXAMINER	DATE CONSIDERED
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	